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PTO - Status Inquiry  
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**SSJR File**

3716-P0002A

**FAX NUMBER**

(571) 273-8300

**FROM**

Beatrice R. Emerson for  
Wesley W. Whitmyer, Jr.

**NOTES**

Re: Application No. 09/504,803 filed February 16, 2000  
For SYSTEM FOR FACILITATING THE PROCESSING  
AND SETTLEMENT OF SECURITIES TRADES

**3<sup>rd</sup> STATUS INQUIRY**

New York (212) 730 4554  
New Haven (203) 562 0400

OCT 25 2005

PATENT  
03716-P0002A WWW

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	P. Howard Edelstein, <i>et al.</i>
Serial No. 09/504,803	Filing Date: February 16, 2000
Title of Application:	System For Facilitating The Processing And Settlement Of Securities Trades
Confirmation No. 6146	Art Unit: 3624
Examiner	Daniel S. Felten

Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

3<sup>rd</sup> Status Inquiry

Dear Sir or Madam:

1. More than 2 years and 6 months have passed since a Response to the February 21, 2003 Office Action was filed on April 30, 2003. A *Status Inquiry* was sent via facsimile on January 17, 2005, and a 2<sup>nd</sup> Status Inquiry was sent via facsimile on June 29, 2005 (copies attached).

No communication has been received from the U.S. Patent and Trademark Office since that date.

2. Kindly advise the undersigned of the present status of this application and when a further Office Action can be expected.

Respectfully submitted,



Wesley W. Whitmyer, Jr., Registration No., 33,558  
Attorney for Applicants  
ST. ONGE STEWARD JOHNSTON & REENS LLC  
986 Bedford Street  
Stamford, CT 06905-5619  
203 324-6155

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October 25, 2005  
Beatrice R. Emerson

OCT 25 2005

PATENT  
03716-P0002A WWW

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	P. Howard Edelstein, et al.
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Post Office Box 1450  
Alexandria, VA 22313-1450

*2 Pages*2<sup>nd</sup> Status Inquiry

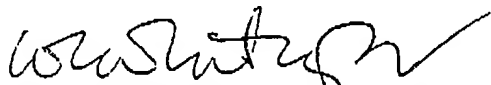
Dear Sir or Madam:

1. More than 2 years and 4 months have passed since a Response to the February 21, 2003 Office Action was filed on April 30, 2003. A Status Inquiry was sent via facsimile on January 17, 2005 (copy attached)

No communication has been received from the U.S. Patent and Trademark Office since that date.

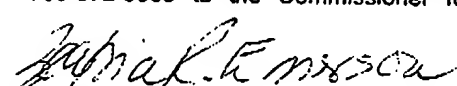
2. Kindly advise the undersigned of the present status of this application.

Respectfully submitted,

  
Wesley W. Whitmyer, Jr., Registration No., 33,558  
Attorney for Applicants  
ST.ONGE STEWARD JOHNSTON & REENS LLC  
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June 29, 2005

  
Beatrice R. Emerson

OCT 25 2005

PATENT  
03716-P0002A WWW

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	P. Howard Edelstein, <i>et al.</i>
Serial No. 09/504,803	Filing Date: February 16, 2000
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Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

Status Inquiry

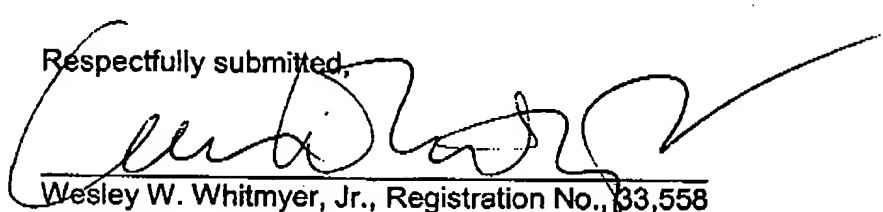
Dear Sir or Madam:

1. More than 20 months have passed since a Response to the February 21, 2003 Office Action was filed on April 30, 2003.

No communication has been received from the U.S. Patent and Trademark Office since that date.

2. Kindly advise the undersigned of the present status.

Respectfully submitted,



Wesley W. Whitmyer, Jr., Registration No., 33,558  
Attorney for Applicants  
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Stamford, CT 06905-5619  
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Facsimile Certificate: I hereby certify that this correspondence is today being sent via facsimile No. 703-872-9306 to the Commissioner for Patents; Washington, DC.

January 17, 2005

  
Beatrice R. Emerson